

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chang et al.	§
Serial No.: Not Assigned	§ Group Art Unit: Not Assigned
Filed: _____	§ Examiner: Not Assigned
For: Method and Apparatus for Ensuring	§ Attorney Docket No.: AUS920030298US1
Valid Journaled File System Metadata	§
During a Backup Operation	§

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Hon. Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: Oct. 9, 2003

Respectfully submitted,

A handwritten signature in cursive script, reading "Marilyn Smith Dawkins". The signature is written in dark ink and is positioned above a horizontal line.

Marilyn Smith Dawkins
Registration No. 31,140
IBM Corporation
Intellectual Property Law
11400 Burnet Road
Austin, Texas 78757
(512) 823-0094

Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. AUS920030298US1		SERIAL NO. Not Assigned	
			APPLICANT Chang et al.			
			FILING DATE		GROUP ART UNIT Not Assigned	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	AA 6,047,294	Apr. 4, 2000	Deshayes et al.	707/204	Mar. 31, 1998	
	AB 5,897,661	Apr. 27, 1999	Baranovsky et al.	711/170	Feb. 25, 1997	
	AC 6,397,308 B1	May 28, 2002	Ofek et al.	711/162	Dec. 31, 1998	
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
OTHER PRIOR ART (including author, title, date, pertinent page, etc.)						
RELATED PATENT APPLICATIONS						
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE		FILING DATE	
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						